

APPENDIX B
PENDING CLAIMS

1 1. (As filed) A method of treating a neoplasia in a mammal, said
2 method comprising administering to said mammal a serum-stable nucleic acid-lipid
3 particle comprising a nucleic acid portion that is fully encapsulated within the lipid
4 portion, wherein said administration is by injection at an injection site that is distal to said
5 neoplasia in said mammal.

1 2. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 1, wherein said nucleic acid comprises an expressible gene.

1 3. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 2, wherein said expressible gene encodes a member selected from
3 the group consisting of therapeutic polypeptides and therapeutic polynucleotides.

1 4. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 2, wherein said gene is exogenous.

1 5. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 3, wherein said gene is a member selected from the group
3 consisting of genes encoding suicide enzymes, toxins and ribozymes.

1 6. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 2, wherein said gene encodes a member selected from the group
3 consisting of herpes simplex virus thymidine kinase (HSV-TK), cytosine deaminase,
4 xanthine-guaninephosphoribosyl transferase, purine nucleoside phosphorylase,
5 cytochrome P450 2B1 and analogs thereof.

1 7. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 2, wherein said gene is homologous.

1 8. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 2, wherein said gene encodes a member selected from the group
3 consisting of proto-oncogenes, cytokines, immune stimulatory proteins and anti-
4 angiogenic proteins.

1 9. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 2, wherein said gene is a member selected from the group
3 consisting of IL-2, IL-12, IL-15 and GM-CSF.

1 10. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 2, wherein a therapeutically effective amount of said gene is
3 generated at said neoplasia.

1 11. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 1, wherein said nucleic acid-lipid particle comprises a
3 protonatable lipid having a pKa in the range of about 4 to about 11.

1 12. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 11, wherein said protonatable lipid is a member selected from the
3 group consisting of DODAC, DODAP, DODMA, DOTAP, DOTMA, DC-Chol, DMRIE,
4 DSDAC and mixtures thereof.

1 13. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 1, wherein said nucleic acid-lipid particle comprises a lipid
3 conjugate that prevents aggregation during formulation.

1 14. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 13, wherein said lipid conjugate is a member selected from the
3 group consisting of PEG-lipids and PAO-lipids.

1 15. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 13, wherein said lipid conjugate is reversibly associated with an
3 outer lipid monolayer, and wherein said lipid conjugate exchanges out of said outer lipid
4 monolayer at a rate faster than PEG-CerC20.

1 16. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 1, wherein said nucleic acid-lipid particle is substantially devoid
3 of detergents and organic solvents.

1 17. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 1, wherein a therapeutically effective amount of said nucleic acid-
3 lipid particle accumulates at said neoplasia.

1 18. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 1, wherein a therapeutic effect is detected at the site of said
3 neoplasia.

1 19. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 17, wherein said therapeutically effective amount comprises
3 greater than about 0.5% of an administered dose.

1 20. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 1, wherein said nucleic acid-lipid particle has a diameter of about
3 50 nm to about 200 nm.

1 21. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 20, wherein said nucleic acid-lipid particle has a diameter of about
3 60 nm to about 130 nm.

1 22. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 20, wherein said nucleic acid-lipid particles are of a uniform size.

1 23. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 1, wherein said nucleic acid-lipid particle has a nucleic acid to
3 lipid ratio of greater than about 3 mg nucleic acid to mmole of lipid.

1 24. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 23, wherein said particle has a nucleic acid to lipid ratio of greater
3 than about 14 mg nucleic acid to mmole of lipid.

1 25. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 23, wherein said particle has a nucleic acid to lipid ratio of greater
3 than about 25 mg nucleic acid to mmole of lipid.

1 26. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 1, wherein said nucleic acid remains at least 90% intact when said
3 particle containing about 1 µg DNA is treated with about 100 U DNase 1 in digestion
4 buffer at 37°C for 30 min.

1 28. (As filed) A method of treating a neoplasia in a mammal in
2 accordance with claim 1, wherein said administering is performed at least once per eight
3 weeks.

1 35. (New) A method of treating a neoplasia in a mammal, in
2 accordance with claim 5, wherein said gene encodes a suicide enzyme.

1 36. (New) A method of treating neoplasia in a mammal in accordance
2 with claim 35, further comprising administering a prodrug.

1 37. (New) A method of treating a neoplasia in a mammal in
2 accordance with claim 36, wherein said prodrug is administered after the serum stable
3 nucleic acid-lipid particle.

1 38. (New) A method of treating a neoplasia in a mammal in
2 accordance with claim 36, wherein said prodrug is administered before the serum stable
3 nucleic acid-lipid particle.

1 39. (New) A method of treating a neoplasia in a mammal in
2 accordance with claim 9, further comprising administering a chemotherapeutic agent.

1 40. (New) A method of treating a neoplasia in a mammal in
2 accordance with claim 39, wherein the chemotherapeutic agent is administered after the
3 serum stable nucleic acid-lipid particle.

1 41. (New) A method of treating a neoplasia in a mammal in
2 accordance with claim 39, wherein the chemotherapeutic agent is administered before the
3 serum stable nucleic acid-lipid particle.

1 42. (New) A method of treating a neoplasia in a mammal in
2 accordance with claim 1, wherein the lipid portion comprises a cationic lipid and a
3 neutral lipid.

1 43. (New) A method of treating a neoplasia in a mammal in
2 accordance with claim 42, wherein the cationic lipid is DODAC.

1 44. (New) A method of treating a neoplasia in a mammal in
2 accordance with claim 42, wherein the neutral lipid is DOPE.

1 45. (New) A method of treating a neoplasia in a mammal in
2 accordance with claim 42, wherein the lipid portion further comprises a PEG-lipid.

1 46. (New) A method of treating a neoplasia in a mammal in
2 accordance with claim 42, wherein the lipid portion further comprises cholesterol.